# CS 110 Computer Architecture 

## Dependability and RAID

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https://robotics.shanghaitech.edu.cn/courses/ca/21s
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Slides based on UC Berkley's CS61C

## Review

- I/O gives computers their 5 senses
- I/O speed range is 100 -million to one
- Polling vs. Interrupts
- DMA to avoid wasting CPU time on data transfers
- Disks and flash for persistent storage
- Networking
- Connecting computers, and networks
- Advanced Caches
- LRU/MRU, inclusive/exclusive/non-inclusive
- LLC slices, Scratchpad memory, etc.


## Dependability

Service accomplishment Service delivered
as specified

Restoration


- Fault: failure of a component
- May or may not lead to system failure


## Dependability via Redundancy:

## Time vs. Space

- Spatial Redundancy - replicated data or check information or hardware to handle hard and soft (transient) failures
- Temporal Redundancy - redundancy in time (retry) to handle soft (transient) failures


## Dependability Measures

- Reliability: Mean Time To Failure (MTTF)
- Service interruption: Mean Time To Repair (MTTR)
- Mean time between failures (MTBF)
$-\mathrm{MTBF}=\mathrm{MTTF}+\mathrm{MTTR}$
- Availability $=\frac{M T T F}{M T T F+M T T R}$
- Improving Availability
- Increase MTTF: More reliable hardware/software + Fault Tolerance
- Reduce MTTR: improved tools and processes for diagnosis and repair


## Understanding MTTF

Time

## Understanding MTTF



## Availability Measures

- Availability $=\frac{M T T F}{M T T F+M T T R}$ as $\%$
- MTTF, MTBF usually measured in hours
- Since hope rarely down, shorthand is "number of 9s of availability per year"
- 1 nine: $90 \%=>36$ days of repair/year
- 2 nines: $99 \%=>3.6$ days of repair/year
- 3 nines: $99.9 \%$ => 526 minutes of repair/year
- 4 nines: $99.99 \%$ => 53 minutes of repair/year
- 5 nines: $99.999 \%$ => 5 minutes of repair/year


## Reliability Measures

- Another is average number of failures per year: Annualized Failure Rate (AFR)
- E.g., 1000 disks with 100,000 hours MTTF
-365 days * 24 hours $=8760$ hours
- (1000 disks * 8760 hrs/year) / 100,000 $=87.6$ failed disks per year on average
$-87.6 / 1000=8.76 \%$ annual failure rate
- Google's 2007 study* found that actual AFRs for individual drives ranged from 1.7\% for first year drives to over 8.6\% for three-year old drives
*research.google.com/archive/disk_failures.pdf


## Dependability Design Principle

- Design Principle: No single points of failure
- "Chain is only as strong as its weakest link"
- Achilles' Heel
- Dependability Corollary of Amdahl's Law
- Doesn't matter how dependable you make one portion of system
- Dependability limited by part you do not improve


## Error Detection/Correction Codes

- Memory systems generate errors (accidentally flipped-bits)
- DRAMs store very little charge per bit
- "Soft" errors occur occasionally when cells are struck by alpha particles or other environmental upsets
- "Hard" errors can occur when chips permanently fail
- Problem gets worse as memories get denser and larger
- Memories protected against failures with EDC/ECC
- Extra bits are added to each data-word
- Used to detect and/or correct faults in the memory system
- Each data word value mapped to unique code word
- A fault changes valid code word to invalid one, which can be detected


## Block Code Principles

- Hamming distance = difference in \# of bits
- $p=0 \underline{1} 1 \underline{0} 11, q=0 \underline{0} 1 \underline{11}$, Ham. distance $(p, q)=2$
- $\mathrm{p}=011011$, $q=110001$, distance $(\mathrm{p}, \mathrm{q})=$ ?
- Can think of extra bits as creating a code with the data
- There is Ham. distance between codes


Richard Hamming, 1915-98 Turing Award Winner

## Parity

- Parity bits are added to a word to make it
- either odd: odd numbers of ' 1 '
- or even: even number of ' 1 '
- Let us add one parity bit to three-bit word

| Odd Parity |  | Even Parity |  |
| :---: | :---: | :---: | :---: |
| 000 | 0001 | 000 | 0000 |
| 100 | 1000 | 100 | 1001 |
| 101 | 1011 | 101 | 1010 |
| 111 | 1110 | 111 | 1111 |

## Parity: Simple Error-Detection Coding

- Each data value, before it is written to memory is "tagged" with an extra bit to force the stored word to have even parity:

- Each word, as it is read from memory is "checked" by finding its parity (including the parity bit).

- A non-zero parity check indicates an error occurred:
- 2 errors (on different bits) are not detected
- nor any even number of errors, just odd numbers of errors are detected
- Minimum Hamming distance of valid parity codes is 2


## Parity Example

- Data 01010101
- 4 ones, even parity now
- Write to memory: 010101010 to keep parity even
- Data 01010111
- 5 ones, odd parity now
- Write to memory: 010101111 to make parity even
- Read from memory 010101010
- 4 ones => even parity, so no error
- Read from memory 110101010
- 5 ones => odd parity, so error
- What if error in parity bit?


## Suppose Want to Correct 1 Error?

- Richard Hamming came up with simple to understand mapping to allow Error Correction at minimum distance of 3
- Single error correction, double error detection
- Called "Hamming ECC"
- Worked weekends on relay computer with unreliable card reader, frustrated with manual restarting
- Got interested in error correction; published 1950
- R. W. Hamming, "Error Detecting and Correcting Codes," The Bell System Technical Journal, Vol. XXVI, No 2 (April 1950) pp 147-160.


## Detecting/Correcting Code Concept

Space of possible bit patterns $\left(2^{N}\right)$


Error changes bit pattern to non-code


Sparse population of valid code words ( $2^{\mathrm{M}} \ll 2^{\mathrm{N}}$ )

- with identifiable signature
- Detection: bit pattern fails codeword check
- Correction: map to nearest valid code word


## Hamming Distance: 8 code words



Hamming Distance 2: Detection Detect Single Bit Errors


- No 1 bit error goes to another valid codeword
- $1 / 2$ codewords are valid


## Hamming Distance 3: Correction

Correct Single Bit Errors, Detect Double Bit Errors


- No 2 bit error goes to another valid codeword; 1 bit error near - 1/4 codewords are valid


## Hamming Error Correction Code

- Use of extra parity bits to allow the position identification of a single error

1. Mark all bit positions that are powers of 2 as parity bits (positions 1, 2, 4, 8, 16, ...)

- Start numbering bits at 1 at left (not at 0 on right)

2. All other bit positions are data bits
(positions $3,5,6,7,9,10,11,12,13,14,15, \ldots$ )
3. Each data bit is covered by 2 or more parity bits

## Hamming ECC

4. The position of parity bit determines sequence of data bits that it checks

- Bit $1\left(0001_{2}\right)$ : checks bits ( $\left.1,3,5,7,9,11, \ldots\right)$
- Bits with least significant bit of address $=1$
- Bit $2\left(0010_{2}\right)$ : checks bits $(2,3,6,7,10,11,14,15, \ldots)$ - Bits with $2^{\text {nd }}$ least significant bit of address $=1$
- Bit $4\left(0100_{2}\right)$ : checks bits (4-7, 12-15, 20-23, ...) - Bits with $3^{\text {rd }}$ least significant bit of address $=1$
- Bit $\left.8(100)_{2}\right)$ : checks bits $(8-15,24-31,40-47, \ldots)$
- Bits with $4^{\text {th }}$ least significant bit of address $=1$


## Graphic of Hamming Code

| Bit position |  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Encoded data bits |  | p1 | p2 | d1 | p4 | d2 | d3 | d4 | p8 | d5 | d6 | d7 | d8 | d9 | d10 | d11 |
| Parity bit coverage | p1 | X |  | X |  | X |  | X |  | X |  | X |  | X |  | X |
|  | p2 |  | X | X |  |  | X | $x$ |  |  | X | X |  |  | X | X |
|  | p4 |  |  |  | X | X | X | X |  |  |  |  | X | X | X | X |
|  | p8 |  |  |  |  |  |  |  | X | X | X | X | X | X | X | X |

- http://en.wikipedia.org/wiki/Hamming code


## Hamming ECC

5. Set parity bits to create even parity for each group

- A byte of data: 10011010
- Create the coded word, leaving spaces for the parity bits:
- __1_001_1010
$123456789 A B C$
- Calculate the parity bits


## Hamming ECC

__1_001_1010

- Position 1 checks bits $1,3,5,7,9,11$ : ? _1_001_1010. set position 1:
0_1_001_1010
- Position 2 checks bits $2,3,6,7,10,11$ :

0 ? 1_001_1010. set position 2:
011 _001_1010

- Position 4 checks bits $4,5,6,7,12$ :

011 ? 001 _ 1010 . set position 4:
0111001 _1010

- Position 8 checks bits $8,9,10,11,12$ :
- 0111001 ? 1010 . set position 8:
- 011100101010


## Hamming ECC

- Final code word: $\underline{011100101010}$
- Data word: 10011010


## Hamming ECC Error Check

- Suppose receive

| Bit position |  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 1 |  | 1 | 12 | 13 | 14 | 15 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Encoded data bits |  | p1 | p2 | d1 | p4 | d2 | d3 | d | p8 | d5 | d |  | d7 | d8 | d9 | d10 | d11 |
| Parity bit coverage | p1 | X |  | X |  | X |  | X |  | X |  |  | x |  | X |  | X |
|  | p2 |  | X | X |  |  | X | X |  |  | X |  | X |  |  | X | X |
|  | p4 |  |  |  | X | X | X | X |  |  |  |  |  | X | X | X | X |
|  | p8 |  |  |  |  |  |  |  | X | X |  |  | X | X | X | X | X |

## Hamming ECC Error Check

- Suppose receive

$$
\begin{aligned}
& \text { 011100101110 } \\
& \begin{array}{lllllll}
0 & 1 & 0 & 1 & 1 & 1 & V
\end{array} \\
& \begin{array}{llll}
11 & 01 & 11 & X-P a r i t y \\
2
\end{array} \text { in error } \\
& 10010 \text { V } \\
& 01110 \text { X-Parity } 8 \text { in error }
\end{aligned}
$$

- Implies position 8+2=10 is in error

011100101110

## Hamming ECC Error Correct

- Flip the incorrect bit ...

$$
\underline{011100101010}
$$

- Double check

$$
\begin{aligned}
& \text { 011100101010 } \\
& \begin{array}{lllllll}
0 & 1 & 0 & 1 & 1 & 1 & V
\end{array} \\
& 110101 \quad \sqrt{1} \\
& \begin{array}{r}
1001 \\
01010 \quad \mathrm{~V}
\end{array}
\end{aligned}
$$

## Hamming ECC Error Detect

- Suppose receive

$$
\begin{array}{rrrrr}
\underline{01} 0100001010 \\
\underline{0} & 0 & 0 & 0 & 1 \\
1 & 1 & V \\
\underline{10} & 0 & 0 & 01 & V \\
1000 & 0 & X \\
& \underline{0} 1010 & V
\end{array}
$$

Two errors can be detected, but not correctable

How about $\geq 3$ bits error?

## Cyclic Redundancy Check

- Parity is not powerful enough to detect long runs of errors (also known as burst errors)
- Better Alternative: Reed-Solomon Codes
- Used widely in CDs, DVDs, Magnetic Disks
- RS $(255,223)$ with 8-bit symbols: each codeword contains 255 code word bytes ( 223 bytes are data and 32 bytes are parity)

- For this code: $\mathrm{n}=255, \mathrm{k}=223, \mathrm{~s}=8,2 \mathrm{t}=32, \mathrm{t}=16$
- Decoder can correct any errors in up to 16 bytes anywhere in the codeword


## RAID: Redundancy for Disks

- Why we still worry about disks?
- Trade-off: price, capacity, density, etc.
- When you need storage space in petabytes (PB) or exabytes (EB)
- 1 PB = 1024 TB
- $1 \mathrm{~EB}=1024$ PB
- Do not forget that flash-based SSDs also fail
- Limited program/erase cycles $\leftarrow$ wear leveling


## Evolution of the Disk Drive




IBM RAMAC 305, 1956

IBM 3390K, 1986


Apple SCSI, 1986

## Arrays of Small Disks

Can smaller disks be used to close gap in performance between disks and CPUs?

Conventional:
4 disk designs $\longrightarrow$


$$
3.5^{\prime \prime} \quad 5.25^{\prime \prime}
$$



## Low End <br> High End

Disk Array:
1 disk design
$3.5^{\prime \prime}$


Replace Small Number of Large Disks with Large Number of Small Disks! (1988 Disks)

|  | IBM 3390K | IBM 3.5" 0061 | x70 |  |
| :--- | :--- | :--- | :--- | :--- |
| Capacity | 20 GBytes | 320 MBytes | 23 GBytes |  |
| Volume | $97 \mathrm{cu} . \mathrm{ft}$. | $0.1 \mathrm{cu} . \mathrm{ft}$. | $11 \mathrm{cu} . \mathrm{ft}$. | 9 x |
| Power | 3 KW | 11 W | 1 KW | 3 X |
| Data Rate | $15 \mathrm{MB} / \mathrm{s}$ | $1.5 \mathrm{MB} / \mathrm{s}$ | $120 \mathrm{MB} / \mathrm{s}$ | 8 X |
| I/O Rate | $600 \mathrm{I} / \mathrm{Os} / \mathrm{s}$ | $55 \mathrm{I} / \mathrm{Os} / \mathrm{s}$ | $3900 \mathrm{IOs} / \mathrm{s}$ | 6 X |
| MTTF | 250 KHrs | 50 KHrs | ??? Hrs |  |
| Cost | $\$ 250 \mathrm{~K}$ | $\$ 2 \mathrm{~K}$ | $\$ 150 \mathrm{~K}$ |  |

Disk Arrays have potential for large data and I/O rates, high MB per cu. ft., high MB per KW, but what about reliability?

## RAID: Redundant Arrays of (Inexpensive) Disks

- Files are "striped" across multiple disks
- Redundancy yields high data availability
- Availability: service still provided to user, even if some components failed
- Disks will still fail
- Contents reconstructed from data redundantly stored in the array
$\rightarrow$ Capacity penalty to store redundant info
$\rightarrow$ Bandwidth penalty to update redundant info


## RAID 0: Striping

- RAID 0 provides no fault tolerance or redundancy
- Striping, or disk spanning
- High performance



## RAID 1: Disk Mirroring/Shadowing



- Each disk is fully duplicated onto its "mirror(s)"
- Very high availability can be achieved
- Bandwidth sacrifice on write:
- Logical write = N physical writes
- Reads may be optimized
- Most expensive solution: 100\% capacity overhead
- RAID 10 (striped mirrors), RAID 01 (mirrored stripes):
- Combinations of RAID 0 and 1.


## RAID 3: Parity Disk



## RAID 4: High I/O Rate Parity



## Inspiration for RAID 5

- RAID 4 works well for small reads
- Small writes (write to one disk):
- Option 1: read other data disks, create new sum and write to Parity Disk
- Option 2: since $P$ has old sum, compare old data to new data, add the difference to $P$
- Small writes are limited by Parity Disk: Write to D0, D5 both also write to P disk



## RAID 5: High I/O Rate Interleaved Parity



## Problems of Disk Arrays: Small Writes

RAID-5: Small Write Algorithm
1 Logical Write = 2 Physical Reads $\mathbf{+ 2}$ Physical Writes


## And, in Conclusion, ...

- Great Idea: Redundancy to Get Dependability
- Spatial (extra hardware) and Temporal (retry if error)
- Reliability: MTTF \& Annualized Failure Rate (AFR)
- Availability: \% uptime
- Memory
- Hamming ECC: correct single, detect double
- RAID
- Interleaved data and parity

